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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: de la Garza

Docket No.: SECU0003

Serial No. : Unknown

Art Unit: Unassigned

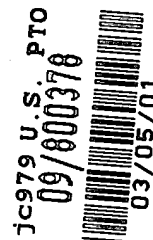
Filed: Herewith

Examiner: Unassigned

Title: REMOTE COMPUTER FORENSIC EVIDENCE COLLECTION SYSTEM AND
PROCESS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231



Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- () The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. _____). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn
Attorney For Applicant
Reg. No. 30,176

Customer Number: 22862

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No.	Serial No.:
	SECU0003	Unassigned
	Applicant:	
	De la Garza	
	Filing Date:	Group:
	Herewith	Not Assigned

JCS: S. PTO
 09/800378
 03/05/01

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,262,956	11/16/93	DeLeeuw	364	474.13	6/26/91
	B	5,679,940	10/21/97	Templeton et al.	235	380	12/1/95
	C	5,701,400	12/23/97	Amado	395	76	3/8/95
	D	5,781,629	7/14/98	Haber et al.	382	23	2/21/97
	E	5,819,226	10/6/98	Gopinathan et al.	705	1	9/8/92
	F	6,026,397	2/15/00	Sheppard	707	5	5/22/96
	G	6,049,621	4/11/00	Jain et al.	382	125	2/25/98
	H	6,058,193	5/2/00	Cordery et al.	380	284	6/28/99
	I	6,064,810	5/16/00	Raad et al.	395	500.43	9/27/96
	J	6,065,119	5/16/00	Sandford, II et al.	713	200	5/30/97
	K	6,069,563	5/30/00	Kadner et al.	340	571	3/4/97
	L	6,091,835	7/18/00	Smithies et al.	382	115	2/17/98
	M	6,119,103	9/12/00	Basch et al.	705	35	5/27/97
	N	6,134,532	10/17/00	Lazarus et al.	705	14	11/14/97
	O	6,157,707	12/5/00	Baulier et al.	379	189	4/2/99

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	P	050 833	11/8/00	EPO	G06F	17/60	X	
	Q	669 032	11/19/97	EPO	G07F	7/10	X	
	R	782 112	7/2/97	EPO	G07B	17/04	X	
	S	893 763	1/27/99	EPO	G06F	11/08	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	T ¹	Briody, D., <i>IT managers weigh legal issues</i> , Sept. 1999, Info world, 21, 36, 69.
	U	Castell, S.; <i>The Legal Admissibility of Computer Generated Evidence Towards 'Legally Reliable' Information and Communications Technology</i> , July-Aug. 1989; Computer Law and Security Report, v5, n2, p2;
	V	Cobb, M., <i>Security Solutions</i> , May 1998, e-businessAdvisor, v16, n5, p50.
	W	<i>Computer Forensics</i> , April 2000; SC/Info Security News Magazine, v11, n4, p.20-24.

	X	Goodwin, B., <i>Cybercrime—an inside job</i> , Aug. 2000, Computer Weekly, 16.
	Y	Holley, J., <i>Computer Forensics in the New Millennium</i> , SC/Info Security News Magazine v10 n9 p46-52.
	Z	Hosmer, C., J. Feldman, and J. Giordano; <i>Advancing Crime Scene Computer Forensic Techniques</i> ; 1999; Proceedings of the 1998 Investigation and Forensic Science Technologies.
	AA	Jackson, W., <i>When it comes to Web site Vandalism, attackers target Microsoft software</i> , Oct. 2000, Government Computer News, 19, 31, 39.
	Bb	Murray, B., <i>Army debuts IT crime unit</i> , July 2000, Government Computer News, 19, 20, 48.
	Cc	Musthaler, L., <i>Keeping a data trail is the best defense</i> , Sept. 1997, Network World, v14, n35, p41.
	DD	Radcliff, D., <i>Crime in the 21st Century: the new field of computer forensics is keeping security experts on the trail of cybercriminals</i> , Dec. 1998, Info world, 20, 50, 65.
	EE	Santo, B., <i>Sherlock Holmes of software' bridges technical and legal gulf in cracking IP-theft cases – software forensics expert takes a byte out of crime</i> , 1998, Electronics Engineering Times, n987, PG16.
	FF	Slabodkin, G., <i>AF Computer Forensics Lab nabs criminals, byte by byte</i> , Aug. 1998, Government News, v17, n25, p1.
	GG	Sommer, P., <i>Investigating Cyberspace</i> , Jan. 2000, Computer Weekly, 24.
	HH	Sommer, P.; <i>Intrusion Detection System as Evidence</i> ; Dec. 14, 1999; Computer Networks vol.13, no. 23-24, p.2477-87.
	II	Sommer, Peter; <i>Intrusion Detection System as Evidence</i> ; 1999; Computer Networks, v.31, no. 23 1999, p. 2477-2487.
	JJ	Tadger, R., <i>Safeguard your IT assets – you can prsecute a hacker, if you have the right systems in place</i> , 1999, INTERNETWEEK, n767, PG28.
	KK	Wright, R., <i>How to Avoid an Online breach – The right tools to fight Cybercrime – New technology helps e-business fight online security breaches</i> , Nov. 2000, VARbusiness, 139.
	LL	Wright, R., <i>How to avoid an online breach – the right tools to fight cybercrime – new technology helps e-business fight online security breaches</i> , 2000, VARbusiness, n1623, PG139.

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.